



# Corda Investment Management, LLC

## FACTS

### WHAT DOES CORDA DO WITH YOUR PERSONAL INFORMATION?

#### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

#### What?

The types of personal information we collect, and share depend on the product or service you have with us. This information can include:

- Social Security number and other identifying information
- risk tolerance and investment objectives
- income and assets

When you are *no longer* our customer, we continue to share your information as described in this notice.

#### How?

All financial companies need to share client's personal information to run their everyday business. In the section below, we list the reasons financial companies can share their client's personal information; the reasons we choose to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Corda share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes—</b> to offer our products and services to you	Yes	No
<b>For joint marketing with other financial companies</b>	No	We don't share
<b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences	No	We don't share
<b>For our affiliates' everyday business purposes—</b> information about your creditworthiness	No	We don't share
<b>For nonaffiliates to market to you</b>	No	We don't share

#### Questions?

Call 713-439-0665 or go to [www.cordamanagement.com](http://www.cordamanagement.com)

## Who we are

### Who is providing this notice?

Corda Investment Management, LLC

## What we do

### How does Corda protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

### How does Corda collect my personal information?

We collect your personal information, for example, when you

- open an account or direct us to buy or sell securities
- submit ID verification or provide contact information
- make a wire transfer

We also collect personal information from others, such as our custodians.

### Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

## Definitions

### Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- Corda Investment Management, LLC has no affiliates.

### Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- We do not share your personal information for nonaffiliates to market to you.

### Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- We do not engage in joint marketing with nonaffiliates.

## Other important information

Corda reviews our privacy policy annually and will provide a current privacy policy to our clients at the time a new account is opened and on an annual basis thereafter.